DATA CONSIDERATIONS				
Sustainable growth & development	Purpose & fairness	Transparency & explainability	Security & safety	Assurance & accountability
Engage in activities that can contribute to inducing inclusive growth, sustainable development, and wellbeing.	Respect the rule of law, human rights, and democratic values throughout data lifecycle activities,	Commit to responsible disclosures to provide information to foster stakeholders' understanding of data use.	Ensure traceability and apply systematic risk management approaches to mitigate, among others, safety and security risks.	Be accountable for the proper functioning of data systems and for the respect of data roles and the data context.
 Consolidate research networks and collaborative platforms for data reduction. Enable, guide, and foster access to, use and re-use of, data and evidence. Reduce the potential environmental impact of data infrastructure. Avoid the proliferation of unnecessary, redundant, or overlapping datasets. Manage and reduce dark data volume. Monitor and control the quality, suitability, sustainability, and impartiality of data 	 Conduct human rights impact assessments, where appropriate, to analyse effects of data input activities on rights-holders. Employ initiatives to reduce bias that may feature in data. Protect privacy over data lifecycle. Ensure the availability of diverse teams collaborating around data projects to help mitigate biases. Publish data governance and management policies, practices, and procedures. 	 Disclose information about historical and future use of data. Involve expected data users to allow adjustments to data needs for successful scaling of data projects. Reuse data based on assessment of existing data assets to increase efficiency over time. Gather and record information on data system(s) functioning over time for evaluation. Assess quality of data inputs. 	 Maintain records of data characteristics for traceability. Adopt and uniformly apply standards, guidelines, codes for data procurement. Perform regular and random data audits to assess data input quality and if data is fit for purpose. Identify and assess data risks through risk management approaches. Communicate residual risks, data accuracy, & serious data incidents. 	 Adopt clear terms of who should be held responsible for data and in which circumstances. Establish a system for "check and balances" of decisions on spending on data and related technologies. Establish independent oversight bodies to audit the use of data and data practices. Use tools and processes to document data system decisions and to ensure accountability. Establish codes of ethical conduct and

SustainaBle dAta & ethicS framEwork (BASE) produced by Ian Hodgkinson and Tom Jackson©

inputs by defining and deploying data management rules and practices.

Deploy communication tools to help facilitate engagement of appropriate stakeholders, or representatives, as and when appropriate.

- Be user-driven and place users' needs and their concerns at the core of data project
- design, implementation, and monitoring.

Communicate to relevant stakeholders, or representatives, about the use of data and its focal purpose.

Protect privacy of legacy data.

 Protect right to freedom of expression, association, and personal autonomy.

 Avoid the emergence of new forms of "digital exclusion" in the workplace, communities, and society.
 Protect whistle-

blowers reporting wrongdoing.

- Define a formal process for relevant parties to challenge the use of data.
- Be transparent, open, and clear about data inputs and machine / human processes that led to final decisions.
- Ensure the processing of personal, personal sensitive or community data by third parties in the context of publicprivate partnerships is transparent and comply with and adhere to applicable policy and legislation.

- Establish compliance measures where appropriate.
- Agree on trustworthy data management practices across departments.
- Identify user, intended data use and reasonably foreseeable data misuse (hazard identification).
- Adopt impact mitigation planning (IMP) for social & environment impacts.
- Track efforts to reduce and address risk(s) from data use.
- Manage digital security risks and the safety of connected products and services.

practical technical tools for data use.

- Acknowledge that the type and use-context of data determine the relevant principles, rules and norms bearing on its use.
- In the case of a negative outcome, take action to ensure a better future outcome.
- Articulate the value proposition for all data projects, above a certain size.
- Understand potential sanctions to intended or unintended data abuse and mismanagement.
- Create safe havens for reporting data misuse, negative outcomes, and early warnings.

SOURCE: Author created. The data considerations are adapted from the following source documents: OECD, "Good Practice Principles for Data Ethics in the Public Sector", (2020); OECD, "The State of Implementation of the OECD AI Principles Four Years On", October (2023); OECD, "Common Guideposts to Promote Interoperability in AI Risk Management", November (2023); and OECD, "Recommendation of the Council on Digital Government Strategies", (2014), respectively.